

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

ATTORNEY DOCKET NO.
P07269US00/LRP

U.S. APPLICATION NO.
(If known, see 37 CFR 1.5)

097868780

INTERNATIONAL APPLICATION NO.
PCT/JP99/07269 ✓

INTERNATIONAL FILING DATE
24 December 1999 ✓

PRIORITY DATE CLAIMED
25 December 1998 ✓

TITLE OF INVENTION: SIASTATIN B DERIVATIVES HAVING AGLYCOSIDASE-INHIBITORY ACTIVITY...

APPLICANT(S) FOR DO/EO/US: NISHIMURA et al. Yoshio

Applicant herewith submits to the US Designated/Elected Office (DO/EO/US) the following items and other information:

- ☒ 1. This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
 - 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 USC 371.
 - ☒ 3. This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 USC 371(b) and PCT Art. 22 and 39(1).
 - ☒ 4. A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
 - ☒ 5. A copy of the International Application as filed (35 U.S.C. 371 (c)(2))
 - a. is transmitted herewith (required only if not transmitted by the International Bureau).
 - ☒ b. has been transmitted by the International Bureau.
 - c. is not required, as the application was filed in the United States Receiving Office (RO/US).
 - ☒ 6. A translation of the International Application into English (35 U.S.C. 371(c)(2)).
 - 7. Amendments to the claims of the International Appln. under PCT Article 19 (35 USC 371 (c)(3))
 - a. are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. have been transmitted by the International Bureau.
 - c. have not been made; however, the time limit for making such amendments had NOT expired.
 - d. have not been made and will not be made.
 - 8. A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - ☒ 9. An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
 - 10. A translation of the annexes to the Int'l Prelim. Exam. Report under PCT Article 36 (35 U.S.C. 371(c)(5)).
- Items 11 to 20 below concern document(s) or information included:**
- 11. An Information Disclosure Statement under 37 C.F.R. 1.97 and 1.98.
 - ☒ 12. An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
 - 13. A First preliminary amendment.
 - 14. A Second or Subsequent preliminary amendment.
 - 15. A substitute specification.
 - 16. A change of power of attorney and/or address letter.
 - 17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 & 35 USC 1.821-825.
 - 18. A second copy of the published international application under 35 USC 154(d)(4).
 - 19. A second copy of the English translation of the international application under 35 USC 154(d)(4).
 - 20. Other items or information:

A copy of the Notification of Missing Requirements under 35 U.S.C. 371.

- ☒ In the event that a petition for extension of time is required to be submitted herewith, and in the event that a separate petition does not accompany this response, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized in 17(c).

Date: 21 June 2001

U.S. APPLICATION NO. <i>(if known)</i> 097 868780		INTERNATIONAL APPLICATION NO. PCT/JP99/07269		ATTORNEY DOCKET NO. P07269US00/LRP	
X 21. The following fees are submitted: X Basic National Fee (37 CFR 1.492 (a) (1)-(5): <div style="margin-left: 20px;"> _____ Neither Int'l Prelim. Exam. fee nor Int'l Search fee paid to USPTO \$1000 X Search Report has been prepared by the EPO or JPO \$ 860 _____ No Int'l Prelim. Ex. fee paid to USPTO but Int'l Search fee paid to USPTO \$ 710 _____ International preliminary examination fee paid to USPTPO \$ 690 _____ Int'l Prelim. Ex. fee paid to USPTO & all claims satisfied PCT Art. 33(1)-(4) \$ 100 </div> <div style="text-align: right; margin-right: 50px;"> ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00 </div>					CALCULATIONS PTO USE ONLY
Surcharge of \$130 for furnishing the oath or declaration later than [] 20 mos. from the earliest claimed priority date (37 CFR 1.492(e)). [] 30 mos. + \$					
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
Total Claims	10 - 20 =	0	X \$18 =	\$	
Independent Claims	3 - 03 =	0	X \$80 =	\$	
Multiple Dependent Claim(s) (if applicable)			+ \$270 =	\$	
TOTAL OF ABOVE CALCULATIONS =				\$ 860.00	
Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				-	\$
SUBTOTAL =				\$ 860.00	
Processing fee of \$130 for furnishing the English translation later than [] 20 mos. from the earliest claimed priority date (37 CFR 1.492(f)). [] 30 mos. +				\$	
TOTAL NATIONAL FEE =				\$ 860.00	
X Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40 per property				+	\$ 40.00
TOTAL FEES ENCLOSED =				\$ 900.00	
<i>Amount to be</i>				<i>Refunded</i>	\$
				<i>Charged</i>	\$
X a. A check in the amount of \$900.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 12-0555 in the amount of \$ to cover the above fees. X c. The Commissioner is hereby authorized to charge any additional fees required or credit overpayment to Deposit Account No. 12-0555.					
<i>Note: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.</i>					
SEND ALL CORRESPONDENCE TO: Linda R. Poteate At the address (below) of CUSTOMER NO. 000881. LARSON & TAYLOR, PLC 1199 NORTH FAIRFAX ST. SUITE 900 ALEXANDRIA, VA 22314			SIGNATURE: <u>Douglas E. Jackson</u> NAME: Douglas E. Jackson REG. NO.: 28,518 PHONE NO.: 703-739-4900 Date: 21 June 2001		